

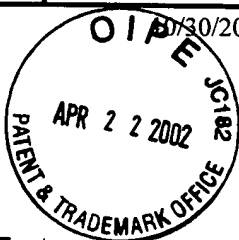


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,485	01/30/2001	Bernard R. Ador	225P10US01

Michael L. Mau  
Mau & Krull, P.A.  
Suite E  
1250 Moore Lake Drive East  
Fridley, MN 55432



CONFIRMATION NO. 3531

## FORMALITIES LETTER



\*OC000000007351512\*

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 BNGUYEN1 00000072 500549 10023485

01 FC:101 740.00 CH  
02 FC:105 130.00 CH



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bernard R. Ador  
Serial No.: 10/023,485 Group Art Unit: 3634  
Filed: October 30, 2001 Docket No.: 225P10US01  
Title: PERSONAL SAFETY DEVICE FOR A VERTICAL ROPE

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COMMUNICATION

BOX: MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application" (see enclosed copy), an executed Combined Declaration and Power of Attorney by the named inventor is enclosed. Also enclosed is a Fee Transmittal authorizing payment in the amount of \$870.00 for both the basic filing fee and the surcharge fee due for a large entity to be charged to Deposit Account 500549.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the below-named attorney at (763) 574-2456.

Respectfully submitted,

BERNARD R. ADOR

Date: 04/12/02

By: Robin A. Sannes

Robin A. Sannes  
Reg. No. 45,070  
MAU & KRULL, P.A.  
1250 Moore Lake Drive East  
Suite E  
Fridley, MN 55432  
Telephone (763) 574-2456

RAS:das

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bernard R. Ador  
Serial No.: 10/023,485                      Group Art Unit: 3634  
Filed: October 30, 2001                      Docket: 225P10US01  
Title: PERSONAL SAFETY DEVICE FOR A VERTICAL ROPE

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Box: MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on April 12, 2002.

By: Robin A. Sannes

Robin A. Sannes

Box: MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

Transmittal Sheet containing Certificate of Mailing (in duplicate)  
Fee Transmittal for FY 2002 (in duplicate)  
Petition for Extension of Time for One Month  
Communication  
Copy of Notice to File Missing Parts of Nonprovisional Application  
Signed Combined Declaration and Power of Attorney  
Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 500549. A duplicate of this sheet is enclosed.

By: Robin A. Sannes

Robin A. Sannes  
Reg. No.: 45,070  
MAU & KRULL, P.A.  
1250 Moore Lake Drive East  
Suite E  
Fridley, MN 55432  
Telephone (763) 574-2456

RAS:das

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	10/023,485
Filing Date	October 30, 2001
First Named Inventor	Bernard R Ador
Examiner Name	
Group Art Unit	3634
Attorney Docket No.	225P10US01

**TOTAL AMOUNT OF PAYMENT**

(\$)

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

500549

Deposit  
Account  
Name

Mau &amp; Krull, P.A.

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
---------------	---------------	---------------	-----------------

101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

Fee Paid

740

**SUBTOTAL (1)** (\$)

740

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** =	X	
3	-3** =	X	
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
---------------	---------------	---------------	-----------------

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)

240

**SUBMITTED BY**

Name (Print/Type)

Robin A. Sannes

Registration No.  
(Attorney/Agent)

45,070

Complete (if applicable)

Telephone

763-574-2456

Signature

Robin A. Sannes

Date

04/12/02

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.